

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

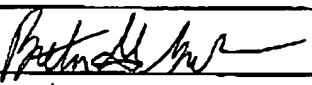
Approved for use through 10/31/2002. OMB 0651-0031


U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<h1 style="text-align: center;">TRANSMITTAL FORM</h1> <p style="text-align: center;">(to be used for all correspondence after initial filing)</p>	Application Number	09/678,430
	Filing Date	October 2, 2000
	First Named Inventor	Chris Greener
	Group Art Unit	2152
	Examiner Name	Unknown
	Attorney Docket Number	PA1256US
Total Number of Pages in This Submission		15

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input checked="" type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1. Postcard, check, Declaration and Power of Attorney, copy of Notice of Missing Parts.
Remarks Total page number does not include postcard and check.		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Carr & Ferrell, LLP Cust. No. 22830
Signature	 Reg No. 48,149
Date	August 21, 2002

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <u>August 21, 2002</u>	
Typed or printed name	Breton G. Graham
Signature	
Date	August 21, 2002

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PTO/SB/21 (08-00)

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/678,430
	Filing Date	October 2, 2000
	First Named Inventor	Chris Greener
	Group Art Unit	2152
	Examiner Name	Unknown
Total Number of Pages in This Submission	2011	Attorney Docket Number PA1256US

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1. Postcard, check
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Carr & Ferrell, LLP Cust. No. 22830
Signature	<i>Breton G. Graham</i> Reg No. 48,149
Date	August 21, 2002

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Typed or printed name	Breton G. Graham
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PTO/SB/17 (10-01)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**FEE TRANSMITTAL
for FY 2002**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$) 705.00

Complete if Known

Application Number	09/678,430
Filing Date	October 2, 2000
First Named Inventor	Chris Greener
Examiner Name	Unknown
Group Art Unit	2152
Attorney Docket No.	PA1256US

METHOD OF PAYMENT

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	06-0600
Deposit Account Name	Carr & Ferrell, LLP

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17☒ Applicant claims small entity status. See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$) 0**2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	--20--	=	0
Multiple Dependent	--8--	=	0

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$) 0

*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	65
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	640
142 1,280	242 640	Utility issue fee (or reissue)	
143 480	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.128(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 705**SUBMITTED BY**

Name (Print/Type) Breton G. Graham

Signature

Registration No.
(Attorney/Agent)

48,149

Complete (if applicable)

Telephone (650) 812-3446

Date

August 21, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Chris Greener et al.
SERIAL NO.: 09/678,430
FILING DATE: October 2, 2000
TITLE: System and Method for Providing World-Wide Web-Based
Survey Creation, Design, Deployment, and Result
Compilation and Tracking
ATTY.DKT.NO.: PA1256US

BOX DAC
COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING
PARTS OF AN APPLICATION

SIR:

In response to the Notice to File Missing Parts of Nonprovisional Application,
dated December 21, 2000, received in the above-identified patent application,

Applicant is enclosing:

- (1) a copy of the Notice to File Missing Parts;
- (2) a signed Declaration and Power of Attorney;
- (3) a check for \$ 705.00 to cover the fees for the Petition to Revive and the
Response to Notice of Missing Parts;

[X] Applicant claims small entity status.

Please charge our Deposit Account No. 06-0600 for any additional fees which may be required or credit our account for any overpayment.

Respectfully submitted,

Chris Greener, et al.

Date: 8/21/02

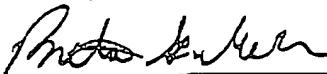
By: 

Breton G. Graham, Reg. No. 48,149
Carr & Ferrell, LLP
2225 East Bayshore Road, Suite 200
Palo Alto, CA 94303
TEL: (650) 812-3400
FAX: (650) 812-3444

CERTIFICATE OF MAILING

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Date: 8/21/02


Breton G. Graham

CARR & FERRELL LLP**ATTORNEYS AT LAW**

2225 East Bayshore Road, Suite 200, Palo Alto, CA 94303

Telephone (650) 812-3400, Facsimile (650) 812-3444

FACSIMILE COVER SHEET

To: Examiner McDowell
United States Patent and Trademark Office

Fax Number: (703) 308-7749

From: Breton G. Graham
Phone Number: (650) 812-3446
Fax Number: (650) 812-3444

Date: October 10, 2002

Total pages: 4 (includes cover sheet)

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Re: Application No. 09/678,430
Petition to Revive

CARR & FERRELL LLP**ATTORNEYS AT LAW**

2225 East Bayshore Road, Suite 200, Palo Alto, CA 94303
Telephone (650) 812-3400, Facsimile (650) 812-3444

FACSIMILE COVER SHEET

To: Examiner McDowell
United States Patent and Trademark Office
Fax Number: (703) 308-7749

From: Breton G. Graham
Phone Number: (650) 812-3446
Fax Number: (650) 812-3444

Date: October 10, 2002

Total pages: 51 (includes cover sheet)

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Re: Application No. 09/678,430
Petition to Revive

I am refaxing documents to include all documents filed on August 21, 2002 with the Petition to Revive.

CARR & FERRELL LLPATTORNEYS AT LAW
Director of the U.S. Patent & Trademark Office

07/08/2002

4131

INVOICE DATE	INVOICE NUMBER/REFERENCE	INVOICE AMOUNT	DISCOUNT	BALANCE
07/08/2002	CKREQRS Market Tools/PA 1256: assignment fee	\$40.00	\$0.00	\$40.00

Check Number: 4131

Check Total: \$40.00

CARR & FERRELL LLP
ATTORNEYS AT LAW
2225 E. BAYSHORE RD. STE. 200
PALO ALTO, CA 94303-8220
(650) 812-3400

SILICON VALLEY BANK
SANTA CLARA, CALIFORNIA 95054
80-4039-1211

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\$40.00

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ORDER OF Director of the U.S. Patent & Trademark Office



⑈006131⑈ ⑆21140399⑆

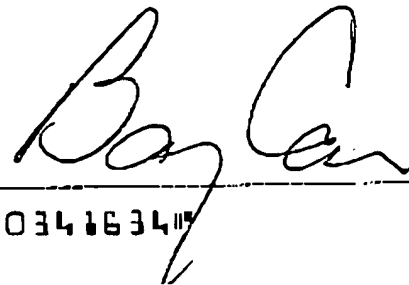
3300341634⑈

CARR & FERRELL LLP**ATTORNEYS AT LAW
Director of the U.S. Patent & Trademark Office****07/08/2002****4130**

INVOICE DATE	INVOICE NUMBER/REFERENCE	INVOICE AMOUNT	DISCOUNT	BALANCE
07/08/2002	CKREQURS Market Tools/PA 1256: revival fee/missing parts fee	\$705.00	\$0.00	\$705.00

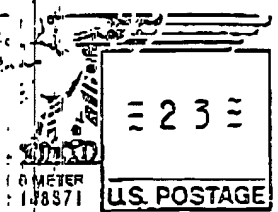
Check Number: 4130

Check Total: \$705.00

4130**CARR & FERRELL LLP**ATTORNEYS AT LAW
2225 E. BAYSHORE RD. STE. 200
PALO ALTO, CA 94303-3220
(650) 812-3400SILICON VALLEY BANK
SANTA CLARA, CALIFORNIA 95054
90-4039-1211**Seven hundred five and NO/100**DATE
07/08/2002AMOUNT
\$705.00PAY
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ORDER OF Director of the U.S. Patent & Trademark Office

⑈004130⑈ ⑈121140399⑈

3300341634⑈



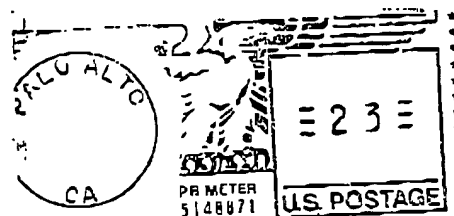
CARR & FERRELL LLP
 Attention: Patent Department
 2225 East Bayshore Road
 Suite 200
 Palo Alto, CA 94303

Patent/Serial No.: 09/678,430 Issue/Filing Date: 10/2/2000
 Title: System and Method for Providing World-Wide Web-Based Survey Creation, Design...
 Inventor(s)/Applicant(s): Chris Greener et al.
 C & F Docket No.: PA1256US Atty Sec. Initials: BGG/jrs
 Date Mailed: August 21, 2002 Delivery Method: 1st Class Mail Docket Due Date: _____



- | | |
|---|---|
| <input checked="" type="checkbox"/> Transmittal letter/form <u>PTO/SB/21</u> | <input checked="" type="checkbox"/> Certificate of Mailing |
| <input type="checkbox"/> Provisional Application _____ pages + cover | <input type="checkbox"/> Express Mail No. _____ |
| <input type="checkbox"/> Utility Application: _____ pages of specification
(w/ claims & abstract) | <input type="checkbox"/> Information Disclosure Statement |
| <input type="checkbox"/> Continuation/Divisional Application | <input type="checkbox"/> IDS form (PTO/SB/08) (2 copies) |
| <input type="checkbox"/> Design Application | <input type="checkbox"/> Petition for Extension of Time: _____ months |
| <input type="checkbox"/> Drawings: _____ # of sheets incl. _____ FIGs. | <input checked="" type="checkbox"/> Petition for Revival under 37CFR 1.137(b) |
| <input checked="" type="checkbox"/> Declaration & POA | <input type="checkbox"/> Issue Fee Transmittal (2 copies) |
| <input checked="" type="checkbox"/> Fee Transmittal (PTO/SB/17) (2 copies) | <input type="checkbox"/> PCT Application: _____ pgs of specification
(w/claims & abstract) |
| <input checked="" type="checkbox"/> Check in the amount of \$ <u>705.00</u> | <input type="checkbox"/> PCT Demand: _____ pages |
| <input type="checkbox"/> Assignment & Assignment Cover Sheet | <input type="checkbox"/> PCT Request: _____ pages |
| <input type="checkbox"/> Amendment/Response _____ pages. | |
| <input checked="" type="checkbox"/> Other: <u>copy of Notice to File Missing Parts, Response to Notice to File Missing Parts.</u> | |





CARR & FERRELL LLP
Attention: Patent Department
2225 East Bayshore Road
Suite 200
Palo Alto, CA 94303

Patent/Serial No.: 09/678,430 Issue/Filing Date: 10/2/2000
Title: System and Method for Providing World-Wide Web-Based Survey Creation, Design...
Inventor(s)/Applicant(s): Chris Greener et al.
C & F Docket No.: PA1256US Atty/Sec. Initials: BGG/jrs
Date Mailed: August 21, 2002 Delivery Method: 1st Class Mail Docket Due Date:

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- | | |
|---|--|
| <input checked="" type="checkbox"/> Transmittal letter/form PTO/SB/21 ✓ | <input checked="" type="checkbox"/> Certificate of Mailing ✓ |
| <input type="checkbox"/> Provisional Application _____ pages + cover | <input type="checkbox"/> Express Mail No. _____ |
| <input type="checkbox"/> Utility Application: _____ pages of specification (w/ claims & abstract) | <input type="checkbox"/> Information Disclosure Statement |
| <input type="checkbox"/> Continuation/Divisional Application | <input type="checkbox"/> IDS form (PTO/SB/08) (2 copies) |
| <input type="checkbox"/> Design Application | <input type="checkbox"/> Petition for Extension of Time: _____ months |
| <input type="checkbox"/> Drawings: _____ # of sheets incl. _____ FIGs. | <input type="checkbox"/> Petition for: _____ |
| <input type="checkbox"/> Declaration & POA | <input type="checkbox"/> Issue Fee Transmittal (2 copies) |
| <input type="checkbox"/> Fee Transmittal (PTO/SB/17) (2 copies) | <input type="checkbox"/> PCT Application: _____ pgs of specification (w/claims & abstract) |
| <input checked="" type="checkbox"/> Check in the amount of \$40.00 | <input type="checkbox"/> PCT Demand _____ pages |
| <input checked="" type="checkbox"/> Assignment & Assignment Cover Sheet ✓ | <input type="checkbox"/> PCT Request _____ pages |
| <input type="checkbox"/> Amendment/Response _____ pages. | |
| <input checked="" type="checkbox"/> Other: Attachment to Assignment Cover Sheet, Additional copy of Assignment Cover Sheet and Attachment to Assignment Cover Sheet ✓ | |

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/678,430	10/02/2000	Chris Greener	1256US

22830
CARR & FERRELL LLP
2225 EAST BAYSHORE ROAD
SUITE 200
PALO ALTO, CA 94303

FORMALITIES LETTER



OC000000005633180

Date Mailed: 12/21/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE